

ON SOME PROPERTIES OF A FUNCTION CONNECTING WITH AN INFINITE SERIES

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ABSTRACT. An attempt has been made in this paper to investigate some set theoretic properties of a function suitably defined on the space of all sequences of non-negative real numbers endowed with Fréchet metric.

0. INTRODUCTION

Inspiration for this paper arises from the papers [1], [2] where the authors proved several interesting theorems in relation to Borel and Baire classifications of functions defined by the exponent of convergence of the family of all non-decreasing sequences of real numbers, the first term of which is at least γ where γ is a positive real number, endowed with Fréchet metric. Our approach in this paper is somewhat different. Instead of taking the family of all non-decreasing sequences $x = \{\xi_k\}_{k=1}^{\infty}$ of real numbers with $\xi_1 > 0$, we consider the set of all sequences of non-negative real numbers with Fréchet metric and after defining a function suitably different from [1], [2] we study the behaviour of the function from various aspects.

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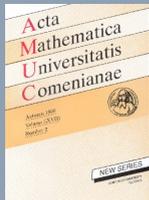


Go back

Full Screen

Close

Quit



Let X be the set of all real sequences $\{x_n\}$ with Fréchet metric $d(x, y)$ given by

$$d(x, y) = \sum_{k=1}^{\infty} \frac{1}{2^k} \frac{|x_k - y_k|}{1 + |x_k - y_k|}$$

where $x = \{x_k\}$, $y = \{y_k\} \in X$.

The metric space (X, d) is complete. Let S denote the set of all sequences $\{x_n\}$ of non-negative real numbers with Fréchet metric. The convergence in this space is considered to be the point-wise convergence.

Let $x \in S$ and $r > 0$. We denote by $B(x, r)$, the open sphere with x as the center and r as the radius. It follows easily that if $x_n = y_n$ for $n = 1, 2, 3, \dots, N$, then $y \in B(x, \frac{1}{2^N})$. If x, y etc. are points of S , we shall represent them generally by $x = \{x_k\}$, $y = \{y_k\}$ etc. Also \mathbb{N} denotes the set of positive integers and \mathbb{R} denotes the set of real numbers. On the space S we shall define a real function $\phi : S \rightarrow [1, \infty)$ as follows

$$\phi(x) = \inf \left\{ p > 1 : \sum_{n=1}^{\infty} p^{-x_n} < \infty \right\}, \quad \text{for } x = \{x_n\} \in S.$$

It may happen that $\phi(x) = +\infty$. We shall study some properties of $\phi : S \rightarrow [1, \infty)$. The interval $[1, \infty)$ is considered with usual topology.

Proposition 0.1. *Let $\{a_n\} \in S$, $a_n > 0$ be such that $\sum_{n=1}^{\infty} 1/a_n < \infty$ and $\sup a_n^{1/x_n} > 0$, where $\{x_n\} \in S$, $x_n > 0$. Then there exists a $a > 0$ such that $\sum_{n=1}^{\infty} a^{-x_n} < \infty$.*

Proof. Take $a = \sup a_n^{1/x_n}$. Then $a > 0$. Since $\sup a_n^{1/x_n} = a$, we have $a_n^{1/x_n} \leq a$, for all $n \in \mathbb{N}$. Therefore $\sum_{n=1}^{\infty} a^{-x_n} \leq \sum_{n=1}^{\infty} 1/a_n < \infty$. Hence the result. \square

In support of the proposition we present an example.

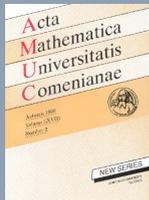


Go back

Full Screen

Close

Quit



Example. Let $x_n = \log n$, $n > 1$ and $a_n = n^2$. Then $a_n^{1/x_n} = (n^2)^{1/\log n} = (e^{2 \log n})^{1/\log n} = e^2$, for each $n > 1$. Take $a = e^2$.

Proposition 0.2. (S, d) is complete and has the power of continuum.

Proof. Let $\{x_n^{(r)}\}_r \in S$ be any sequence converging to $x = \{x_n\}$. Since the convergence in S is the point-wise convergence in the sense of Fréchet metric, it follows that $x \in S$ and S becomes a closed set. Let $x = \{x_n\} \in S$. Then we have a sequence $x^{(r)} = \{x_n^{(r)}\}_n \in S$ such that $\lim_{r \rightarrow \infty} x^{(r)} = x$ where

$$\begin{aligned} x_k^{(r)} &= x_k, & \text{for } k = 1, 2, \dots, r \\ \text{and } x_k^{(r)} &= x_k + 1, & \text{for } k > r; \quad r \in \mathbb{N}. \end{aligned}$$

So, S becomes a perfect set and therefore S has the cardinal number c where c is the power of continuum and hence (S, d) is complete. \square

1. SOME SET THEORETIC PROPERTIES OF THE FUNCTION ϕ

Theorem 1.1. The function $\phi : S \rightarrow (1, \infty)$ is onto but not one-to-one.

Proof. Let $A = \{a_n\}_{n=1}^\infty$ be a monotonic increasing sequence with $a_n \rightarrow \infty$ as $n \rightarrow \infty$. It is well known ([4, p. 40]) that there exists a unique $\lambda = \lambda(A)$, $0 \leq \lambda(A) \leq \infty$ such that

$$\begin{aligned} \sum_{n=1}^{\infty} a_n^{-\sigma} &= +\infty, & \text{for each } \sigma \in \mathbb{R}, \quad \sigma > 0, \quad \sigma < \lambda \\ \text{and } \sum_{n=1}^{\infty} a_n^{-\sigma} &< +\infty, & \text{for each } \sigma \in \mathbb{R}, \quad \sigma > 0, \quad \sigma > \lambda, \end{aligned}$$

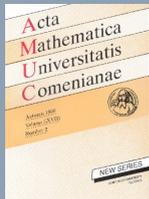


Go back

Full Screen

Close

Quit



i.e.

$$\lambda(A) = \inf\{\sigma > 0 : \sum_{n=1}^{\infty} a_n^{-\sigma} < +\infty\}.$$

Now, we can choose such a sequence $A = \{a_n\}_{n=1}^{\infty}$ with $\lambda(A) = +\infty$.

We know [5] that the function $\lambda : (0, 1] \rightarrow [0, \infty)$ is onto. Then for $1 < a < \infty$, there exists a subsequence $\{a_{n_k}\}_{k=1}^{\infty}$ of $\{a_n\}_{n=1}^{\infty}$ such that

$$a = \inf\left\{\sigma > 0 : \sum_{k=1}^{\infty} a_{n_k}^{-\sigma} < +\infty\right\}.$$

Now, we show that there exists $y \in S$ such that $\phi(y) = a$.

Let $t = \frac{a}{\log a}$ and choose $y = \{y_n\}_{n=1}^{\infty} \in S$ such that $y_k = \log a_{n_k}^t$. Now, for any real number $b > a$,

$$\sum_{k=1}^{\infty} (b)^{-\log a_{n_k}^t} = \sum_{k=1}^{\infty} (e)^{(-\log b) \log a_{n_k}^t} = \sum_{k=1}^{\infty} a_{n_k}^{-t \log b} < +\infty,$$

since $t \log b > a$.

Again if c is a real number such that $1 < c < a$, then

$$\sum_{k=1}^{\infty} (c)^{-\log a_{n_k}^t} = \sum_{k=1}^{\infty} (e)^{(-\log c) \log a_{n_k}^t} = \sum_{k=1}^{\infty} a_{n_k}^{-t \log c} = +\infty,$$

since $t \log c < a$.

Therefore

$$\inf\left\{p > 1 : \sum_{k=1}^{\infty} p^{-\log a_{n_k}^t} < \infty\right\} = a,$$

i.e. $\phi(y) = a$.

We now show that ϕ is not one-to-one.

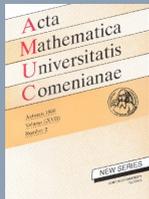


Go back

Full Screen

Close

Quit



Let $a \in (1, \infty)$. Then there exists $x = \{x_n\}_{n=1}^{\infty} \in S$ such that $\phi(x) = a$, i.e.

$$a = \inf \left\{ p > 1 : \sum_{n=1}^{\infty} p^{-x_n} < \infty \right\}.$$

Let $y_n = x_{n+1}$, for $n = 1, 2, 3, \dots$. Then $y = \{y_n\}_{n=1}^{\infty} \in S$. Clearly

$$\inf \left\{ p > 1 : \sum_{n=1}^{\infty} p^{-y_n} < \infty \right\} = a,$$

i.e. $\phi(y) = a$. So $\phi(x) = \phi(y)$ when $x \neq y$. Therefore, ϕ is not one-to-one. \square

Theorem 1.2. *The sets $H^t = \{x \in S : \phi(x) < t\}$ and $H_t = \{x \in S : \phi(x) > t\}$ belong to the third additive Borel class for every $t \in (-\infty, \infty)$.*

Proof. If $t \leq 1$, then $H^t = \phi$ and the theorem is true.

Let $t > 1$. Then,

$$\begin{aligned} H^t &= \{x \in S : \phi(x) < t\} \\ &= \{x = \{x_i\}_{i=1}^{\infty} \in S : \sum_{i=1}^{\infty} (a)^{-x_i} < \infty\}, \text{ for some } a > 1 \text{ and } 1 < a < t, \\ &= \{x \in S : \sum_{i=1}^{\infty} \left(t - \frac{1}{k}\right)^{-x_i} < \infty\}, \end{aligned}$$

for $k \geq k_0$ and k_0 is the least positive integer such that $a = t - 1/k > 1$.

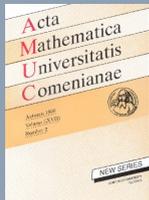


Go back

Full Screen

Close

Quit



We consider $F(k) = \{x = \{x_i\}_{i=1}^\infty \in S : \sum_{i=1}^\infty a^{-x_i} < \infty\}$, for some $a > 1$ and $1 < a < t$, $k = k_0, k_0 + 1, k_0 + 2, \dots$. Then

$$F(k) = \bigcap_{p=1}^\infty \bigcup_{q=1}^\infty \bigcap_{m=1}^\infty \bigcap_{n=1}^\infty \left\{ x : a^{-x_{q+m}} + a^{-x_{q+m+1}} + \dots + a^{-x_{q+m+n}} \leq \frac{1}{p} \right\}.$$

Set

$$F(k, p, q, m, n) = \left\{ x : a^{-x_{q+m}} + a^{-x_{q+m+1}} + \dots + a^{-x_{q+m+n}} \leq \frac{1}{p} \right\}.$$

Let $x^{(r)} = \{x_n^r\}_{n=1}^\infty \in F(k, p, q, m, n)$ and $\lim_{r \rightarrow \infty} x^{(r)} = x$. So $\lim_{r \rightarrow \infty} a^{-x_n^{(r)}} = a^{-x_n}$ for each $n = q + m, q + m + 1, q + m + 2, \dots, q + m + n$, whence $x \in F(k, p, q, m, n)$. Consequently, each of the set $F(k, p, q, m, n)$ is closed. This proves that H^t is an $F_{\sigma\delta\sigma}$ set. Hence, the set $\{x \in S : \phi(x) < t\}$ belongs to the third additive Borel class.

We now investigate the set H_t .

If $t < 1$, then $H_t = S$ and the theorem is true.

If $t \geq 1$, then

$$H_t = \{x \in S : \phi(x) > t\} \\ = \bigcup_{k=1}^\infty \left\{ x = \{x_i\}_{i=1}^\infty \in S : \sum_{i=1}^\infty \left(t + \frac{1}{k}\right)^{-x_i} = \infty \right\}.$$

Consider the set $G(k) = \{x = \{x_i\}_{i=1}^\infty \in S : \sum_{i=1}^\infty (a)^{-x_i} = \infty\}$, where $a = t + 1/k$, $k = 1, 2, 3, \dots$. Then,

$$G(k) = \bigcap_{p=1}^\infty \bigcup_{q=1}^\infty \bigcap_{m=1}^\infty \left\{ x \in S : \sum_{i=1}^{q+m} (a)^{-x_i} \geq p \right\}, \quad k = 1, 2, \dots$$

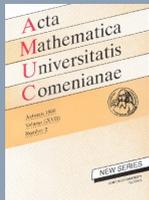


Go back

Full Screen

Close

Quit



It is clear that each of the sets $G(k, p, q, m) = \{x \in S : \sum_{i=1}^{q+m} (a)^{-x_i} \geq p\}$ is closed. Therefore, the set

$$\{x \in S : \phi(x) > t\} = \bigcup_{k=1}^{\infty} \bigcap_{p=1}^{\infty} \bigcup_{q=1}^{\infty} \bigcap_{m=1}^{\infty} G(k, p, q, m)$$

is an $F_{\sigma\delta\sigma}$ set, i.e. H_t belongs to the third additive Borel class. □

Theorem 1.3. *The set $H^t = \{x \in S : \phi(x) < t\}$ is of first category for every $t \in (-\infty, \infty)$.*

Proof. It follows from the previous theorem that

$$H^t = \bigcup_{k=k_0}^{\infty} \bigcap_{p=1}^{\infty} \bigcup_{q=1}^{\infty} \bigcap_{m=1}^{\infty} \bigcap_{n=1}^{\infty} F(k, p, q, m, n) = \bigcup_{k=k_0}^{\infty} \bigcap_{p=1}^{\infty} F(k, p),$$

where

$$F(k, p) = \left\{ x \in S : \bigcap_{q=1}^{\infty} \bigcap_{m=1}^{\infty} \bigcap_{n=1}^{\infty} \left\{ a^{-x_{q+m}} + a^{-x_{q+m+1}} + \dots + a^{-x_{q+m+n}} \leq \frac{1}{p} \right\} \right\}.$$

In order to show that each of the set $F(k, p)$ is of first category in S , it is sufficient to show that $F(k, p)$ is an F_{σ} set and its complement is dense in S .

Let $\varepsilon > 0$. Let $u = \{u_n\}_n$ and $B(u, \varepsilon)$ be an open sphere with u as the center and ε as the radius. Let r be the smallest positive integer such that $\sum_{i=r+1}^{\infty} 1/2^i < \varepsilon$. Define a sequence $x = \{x_n\}$ in S as follows: $x_i = u_i$ for $i = 1, 2, \dots, r$.

If $x_r \leq r + 1$, take $x_h = \frac{1}{h}$, for $h = r + 1, r + 2, \dots$

If $x_r > r + 1$, set $x_j = u_r$, for $j = r + 1, r + 2, \dots, l - 1$, where l is the smallest positive integer for which $l \geq x_r$ and $x_h = \frac{1}{h}$, $h = l, l + 1, l + 2, \dots$

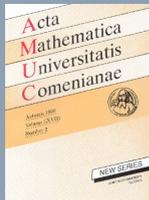


Go back

Full Screen

Close

Quit



Therefore, we can find an integer q such that $x_i = 1/i$ for $i = q, q + 1, q + 2, \dots$. Clearly $x = \{x_n\}_n \in B(u, \varepsilon)$. For every integer q , there exist integers m and n such that

$$a^{-1/(q+m+1)} + a^{-1/(q+m+2)} + \dots + a^{-1/(q+m+n)} = \sum_{\alpha=q+m+1}^{q+m+n} a^{-1/\alpha} > \frac{1}{p}$$

since the series $\sum_{n=1}^{\infty} a^{-1/n}$ is divergent. Thus, the complement of $F(k, p)$ is dense in S . Also each of the set $F(k, p, q, m, n)$ is closed and hence

$$F(k, p) = \bigcup_{q=1}^{\infty} \bigcap_{m=1}^{\infty} \bigcap_{n=1}^{\infty} F(k, p, q, m, n)$$

is an F_{σ} set. Then $F(k, p)$ is of first category in S . But

$$\begin{aligned} F(k) &= \{x = \{x_i\}_{i=1}^{\infty} \in S : \sum_{i=1}^{\infty} a^{-x_i} < \infty\} \text{ for some } a > 1, \quad 1 < a < t \\ &= \{x \in S : \phi(x) < t\} = H^t \end{aligned}$$

Hence, $H^t = \bigcup_{k=k_0}^{\infty} \bigcap_{p=1}^{\infty} F(k, p)$ is of first category in S . □

Theorem 1.4. *The set $\{x \in S : \phi(x) = \infty\}$ is residual in S .*

Proof. By Theorem 1.3, the set

$$\{x \in S : \phi(x) < \infty\} = \bigcup_{n=1}^{\infty} \{x \in S : \phi(x) < n\}$$

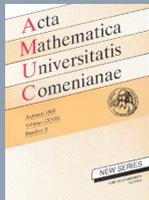


Go back

Full Screen

Close

Quit



is of first category in S and also the space S is complete. Hence, the set $\{x \in S : \phi(x) = \infty\}$ is residual in S . \square

Theorem 1.5. *The function ϕ is discontinuous everywhere in S .*

Proof. Let $x = \{x_k\} \in S$. We choose a sequence $y = \{y_k\} \in S$ such that $\phi(x) \neq \phi(y)$. Let $\delta > 0$. It is sufficient to show that there exists a sequence $z = \{z_k\}$ in the neighborhood $B(x, \delta)$ such that $\phi(z) = \phi(y)$. For $\delta > 0$, let l be the smallest positive integer such that $\sum_{i=l+1}^{\infty} 1/2^i < \delta$. Now, we consider the sequence $\{z_k\}_{k=1}^{\infty}$ as follows:

$$z_k = \begin{cases} x_k, & \text{for } k \leq l \\ y_k, & \text{for } k > l \end{cases}$$

It is clear that $z \in B(x, \delta)$ and

$$\begin{aligned} \phi(z) &= \inf \left\{ p > 1 : \sum_{k=1}^{\infty} p^{-z_k} < \infty \right\} \\ &= \inf \left\{ p > 1 : \left(\sum_{k=1}^l p^{-x_k} + \sum_{k=l+1}^{\infty} p^{-y_k} \right) < \infty \right\} \\ &= \inf \left\{ p > 1 : \sum_{k=1}^{\infty} p^{-y_k} + \left(\sum_{k=1}^l p^{-x_k} - \sum_{k=1}^l p^{-y_k} \right) < \infty \right\} \\ &= \inf \left\{ p > 1 : \sum_{k=1}^{\infty} p^{-y_k} < \infty \right\}, \\ &= \phi(y) \end{aligned}$$

Hence ϕ is discontinuous everywhere in S . \square

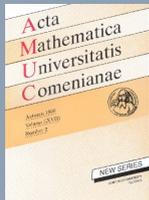


Go back

Full Screen

Close

Quit



Corollary 1.6. ϕ does not belong to the first Baire class.

We now investigate the connected property of $\phi : S \rightarrow (1, \infty)$. Here we show that for any arbitrary subset of $(1, \infty)$, there exists a connected pre-image in S under ϕ . For this purpose we introduce the following lemma.

Lemma 1.7. For $a \in (1, \infty)$, we consider the set

$$D_a^i = \{y(t) = \{y_k\} \in S : y_k = t \cdot x_k, \text{ for } k \leq i, \quad \text{and} \\ y_k = x_k, \text{ for } k > i, \quad 0 < t \leq 1\}$$

where $i \in \mathbb{N}$ and $\phi(x) = a$, for some $x = \{x_k\}_{k=1}^\infty \in S$. Then $D_a = \bigcup_{i \in \mathbb{N}} D_a^i$ is connected and $\phi(D_a) = a$.

Proof. Since $\{x_n\} \in D_a$, D_a is nonempty. It is clear that $\phi(D_a) = a$. Now our goal is to show that D_a is connected. For this purpose we define a function $f : (0, 1] \rightarrow S$ by

$$f(t) = y(t), \text{ for } t \in (0, 1] \text{ and } y(t) \in D_a^i.$$

It is clear that f is continuous in t on $(0, 1]$. So, $f(0, 1] = D_a^i$ is a connected set in S . Again $f(1) = \{x_n\} \in D_a^i$ for each $i \in \mathbb{N}$ and hence $\bigcap_{i \in \mathbb{N}} D_a^i \neq \emptyset$. Thus $\bigcup_{i \in \mathbb{N}} D_a^i = D_a$ is connected. \square

Theorem 1.8. Let B be an arbitrary nontrivial subset of $(1, \infty)$. Then there exists a connected set $D \subseteq S$ such that $\phi(D) = B$.

Proof. Let $a \in B$. Since ϕ is onto, there exists $x = \{x_n\} \in S$ such that $\phi(x) = a$. Define the set $D_a = \bigcup_{i \in \mathbb{N}} D_a^i$, where

$$D_a^i = \{y(t) = \{y_k\} \in S : y_k = t \cdot x_k, \text{ for } k \leq i, \quad \text{and} \\ y_k = x_k, \text{ for } k > i, \quad 0 < t \leq 1\}$$

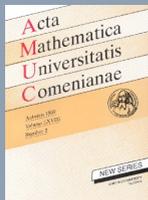


Go back

Full Screen

Close

Quit



where $i \in \mathbb{N}$. Let $D = \bigcup_{a \in B} D_a$. Then by the previous lemma, $\phi(D_a) = a$. Therefore $\phi(D) = B$. We are to show that D is connected. Let $a_1, a_2 \in B$ be such that $a_1 \neq a_2$. Then there exist $x^{(1)} = \{x_n^{(1)}\}_{n=1}^{\infty}$ and $x^{(2)} = \{x_n^{(2)}\}_{n=1}^{\infty} \in S$ such that $\phi(x^{(1)}) = a_1$ and $\phi(x^{(2)}) = a_2$. Let $y = \{y_n\} \in D_{a_1}$ and $\varepsilon > 0$. Since $\{y_n\} \in D_{a_1}$, there exists $i \in \mathbb{N}$ such that

$$y_n = \begin{cases} t \cdot x_n^{(1)}, & \text{for } n \leq i, \\ x_n^{(1)}, & \text{for } k > i, \quad 0 < t \leq 1 \text{ and } i \in \mathbb{N}. \end{cases}$$

We choose $j \in \mathbb{N}$ such that $\sum_{k=j+1}^{\infty} 1/2^k < \varepsilon$. We construct a sequence $z = \{z_k\} \in S$ as follows

$$z_k = \begin{cases} y_k, & \text{for } k \leq j, \\ x_k^{(2)}, & \text{for } k > j; \quad k \in \mathbb{N}. \end{cases}$$

Then $z \in D_{a_2}$ and $d(y, z) < \varepsilon$. This shows that every ε -ball of y contains a member of D_{a_2} . So $y \in \overline{D_{a_2}}$, where the symbol 'bar' indicates the closure of the set. Hence $D_{a_1} \subseteq \overline{D_{a_2}}$. Similarly $D_{a_2} \subseteq \overline{D_{a_1}}$. Therefore, D_{a_1} and D_{a_2} are not separated. This implies that no two of the sets $\{D_{a_i}, a_i \in B\}$ are separated. Thus D is connected. This completes the proof. \square

Corollary 1.9. *The function $\phi : S \rightarrow (1, \infty)$ is not Darboux.*

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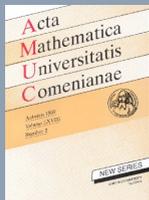


Go back

Full Screen

Close

Quit



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Go back

Full Screen

Close

Quit