GORENSTEIN INJECTIVE MODULES AND ENOCHS' CONJECTURE

A. IACOB

ABSTRACT. We prove that the class of Gorenstein injective modules, \mathcal{GI} , is special precovering if and only if it is covering if and only if it is closed under direct limits. This adds to the list of examples that support Enochs' conjecture:

"Every covering class of modules is closed under direct limits".

We also give a characterization of the rings for which \mathcal{GI} is covering: the class of Gorenstein injective left *R*-modules is covering if and only if *R* is left noetherian, and such that character modules of Gorenstein injective left *R*-modules are Gorenstein flat.

1. INTRODUCTION

Precovers and preenvelopes are fundamental concepts in relative homological algebra and they are important in many areas of mathematics. The importance of precovers comes from the fact that their existence allows constructing resolutions with respect to a class of modules C. The existence of C-covers allows constructing minimal such resolutions (which are unique up to isomorphisms).

In this paper, we are interested in Gorenstein injective precovers and covers. The existence of the Gorenstein injective envelopes over arbitrary rings was recently proved in [16]. But the question "Over which rings is the class of Gorenstein injective modules (pre)covering?" is still open. It is known that the existence of the Gorenstein injective covers implies that the ring is noetherian ([4]). We prove that the class of Gorenstein injective left R-modules is covering if and only if it is special precovering if and only if the ring R is left noetherian and such that the character modules of left Gorenstein injective modules are Gorenstein flat right R-modules.

We also prove that the class of Gorenstein injective left *R*-modules is covering if and only if it is closed under direct limits. This result supports Enochs' conjecture. Enochs proved that a precovering class of modules C which is also closed under direct limits, is, in fact, a covering class ([7, Corollary 5.2.7]). He also conjectured that "Every covering class of modules is closed under direct limits". The conjecture has been verified for various type of classes. We now add the class

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of Gorenstein injective modules, \mathcal{GI} , to the list of classes of modules satisfying Enochs' conjecture.

We start by showing (Proposition 2) that the class of Gorenstein injective left R-modules, \mathcal{GI} , being closed under direct limits, implies that it is a covering class. In [13, Proposition 2], we proved that if \mathcal{GI} is closed under direct limits, then the ring R is left noetherian and such that character modules of Gorenstein injectives are Gorenstein flat. We also proved ([13, Lemma 2]) that over such rings, \mathcal{GI} is the left half of a duality pair. Therefore, by [12, Theorem 3.1], \mathcal{GI} being closed under direct limits implies that \mathcal{GI} is a covering class.

Then we prove (Theorem 2) that if the class of Gorenstein injective modules is special precovering, then it is closed under direct limits. In particular, this is the case when \mathcal{GI} is covering. The proof uses Proposition 3: "Let \mathcal{W} be a class of left R-modules that is closed under direct summands, under taking cokernels of pure monomorphisms, and under pure transfinite extensions. Then \mathcal{W} is closed under direct limits". Proposition 4 and Lemma 4 verify that if \mathcal{GI} is special precovering, then all these hypotheses are met.

We obtain a characterization of the rings over which \mathcal{GI} is special precovering (Theorem 3). The following statements are equivalent:

- (1) The class of Gorenstein injective left R-modules is covering.
- (2) The class of Gorenstein injective left R-modules is special precovering.
- (3) The class of Gorenstein injective left *R*-modules is closed under direct limits.
- (4) The ring R is left noetherian and such that the character modules of Gorenstein injective left R-modules are Gorenstein flat right R-modules.

Theorem 4 extends the result to complexes by showing that the class of Gorenstein injective complexes is special precovering if and only if it is covering if and only if it is closed under direct limits.

2. Preliminaries

Throughout the paper, R denotes an associative ring with unity. Unless otherwise specified, by module we mean a left R-module. R-Mod denotes the category of left R-modules.

We recall the definition of Gorenstein injective modules. We will use \mathcal{GI} to denote this class of modules.

Definition 1 ([6]). A module M is called Gorenstein injective if there is an exact complex of injective modules

$$\mathbf{E} = \ldots \to E_1 \to E_0 \to E_{-1} \to \ldots$$

such that $M = Z_0 \mathbf{E}$ and the complex Hom (I, \mathbf{E}) is exact for any injective module I.

Since we use Gorenstein flat modules as well, we recall that they are the cycles of the exact complexes of flat modules that remain exact when tensored with any injective module. We use \mathcal{GF} to denote the class of Gorenstein flat right R-modules.

We also recall the definitions for Gorenstein injective precovers, covers, and special precovers.

198

Definition 2. A homomorphism $\phi: G \to M$ is a Gorenstein injective precover of M if G is Gorenstein injective and if for any Gorenstein injective module G'and any $\phi' \in \text{Hom}(G', M)$ there exists $u \in \text{Hom}(G', G)$ such that $\phi' = \phi u$.

A Gorenstein injective precover ϕ is said to be a cover if any $v \in \operatorname{End}_R(G)$ such that $\phi v = \phi$ is an automorphism of G.

A Gorenstein injective precover ϕ is said to be special if ker ϕ is in the right orthogonal class of that of Gorenstein injective modules \mathcal{GI}^{\perp} (where $\mathcal{GI}^{\perp} = \{M | \operatorname{Ext}^1(G, M) = 0 \text{ for all Gorenstein injective modules } G\}$).

As mentioned, the importance of the Gorenstein injective (pre)covers comes from the fact that they allow defining the Gorenstein injective resolutions: if the ring R is such that every R-module M has a Gorenstein injective precover, then for every M there exists a Hom $(\mathcal{GI}, -)$ exact complex $\ldots \to G_1 \to G_0 \to M \to 0$ with all G_i Gorenstein injective modules. This is equivalent to $G_0 \to M$, and each $G_i \to \operatorname{Ker}(G_{i-1} \to G_{i-2})$ being Gorenstein injective precovers. Such a complex is called a Gorenstein injective resolution of M; it is unique up to homotopy, so it can be used to compute right derived functors of Hom.

If \mathcal{GI} is a covering class, then working with a \mathcal{GI} -cover at every step, one can construct a minimal Gorenstein injective resolution of M (such a minimal resolution is unique up to an isomorphism).

We will also use duality pairs, so we recall their definition.

Definition 3 ([12]). A *duality pair* over R is a pair $(\mathcal{M}, \mathcal{C})$, where \mathcal{M} is a class of left R-modules and \mathcal{C} is a class of right R-modules, satisfying the following conditions:

- 1. $M \in \mathcal{M}$ if and only if $M^+ \in \mathcal{C}$ (where M^+ is the character module of M, $M^+ = \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})$).
- 2. ${\mathcal C}$ is closed under direct summands and finite direct sums.

A duality pair $(\mathcal{M}, \mathcal{C})$ is called (co)product closed if the class \mathcal{M} is closed under (co)products in the category R-Mod.

Theorem 1 ([12, Theorem 3.1]). Let $(\mathcal{M}, \mathcal{C})$ be a duality pair. Then the following hold:

1. \mathcal{M} is closed under pure submodules, pure quotients, and pure extensions.

2. If $(\mathcal{M}, \mathcal{C})$ is coproduct-closed, then M is covering.

3. Results

We start by showing that \mathcal{GI} being closed under direct limits implies that it is a covering class. The result follows from Theorem 1, [13, Lemma 2] and [13, Proposition 2].

Proposition 1 ([13, Proposition 2]). If the class of Gorenstein injective left R-modules is closed under direct limits, then the ring R is left noetherian and the character module of every Gorenstein injective left R-module is a Gorenstein flat right R-module.

Lemma 1 ([13, Lemma 2]). Let R be a left noetherian ring such that the character module of every Gorenstein injective left R-module is a Gorenstein flat right R-module. Then ($\mathcal{GI}, \mathcal{GF}$) is a duality pair.

Proposition 2. If the class of Gorenstein injective left R-modules is closed under direct limits, then \mathcal{GI} is a covering class.

Proof. By [13, Lemma 2] and [13, Proposition 2], \mathcal{GI} is the left half of a duality pair. Since \mathcal{GI} is also closed under direct sums, it follows that it is a covering class (by Theorem 1).

We prove that if the class of Gorenstein injective modules is special precovering, then it is closed under direct limits. In particular, this is the case when \mathcal{GI} is covering (since by Wakamatsu's Lemma [7, Corollary 7.2.3], a covering class that is closed under extensions is special precovering). We use the following result ([2, Lemma 2.8]).

We recall that a monomorphism $f: A \to B$ is called pure if the short exact sequence $0 \to A \xrightarrow{f} B \to \operatorname{Coker}(f) \to 0$ is pure (i.e., it stays exact when tensoring with any module). In particular, a submodule N of a module M is pure if the incluson monomorphism $i: N \to M$ is pure.

We also recall the definition of a filtration. Let \mathcal{W} be a class of modules. A module M is said to have a \mathcal{W} -filtration if there is an increasing chain of submodules $\mathcal{M} = (M_{\alpha}, \alpha \leq \lambda)$ such that $M_0 = 0, M_{\lambda} = M, M_{\alpha} = \bigcup_{\beta < \alpha} M_{\beta}$ for each limit ordinal $\alpha \leq \lambda$ and $M_{\alpha+1}/M_{\alpha} \simeq C_{\alpha}$ for some $C_{\alpha} \in \mathcal{W}$.

A W-filtration $(M_{\alpha}, \alpha \leq \lambda)$ is said to be pure if for each α, M_{α} is a pure submodule of $M_{\alpha+1}$.

Lemma 2 ([2, Lemma 2.8]). Let κ be an infinite regular cardinal and $\mathcal{N} = (N_{\alpha}, g_{\alpha\beta} : N_{\beta} \to N_{\alpha}, \beta < \alpha < \kappa)$ be a well-ordered κ -continuous directed system of modules. Assume that $N_0 = 0$. Put $C = \varinjlim \mathcal{N}$ and $N = \bigoplus_{\alpha < \kappa} N_{\alpha}$. Then the canonical (κ -pure) presentation of C

$$\epsilon \colon 0 \to K \xrightarrow{\subseteq} N \xrightarrow{f} C \to 0$$

is the direct limit of the κ -continuous well-ordered directed system of pure short exact sequences

$$\varepsilon_{\alpha} \colon 0 \to K_{\alpha} \xrightarrow{\subseteq} \bigoplus_{\beta < \alpha} N_{\beta} \xrightarrow{f_{\alpha}} N_{\alpha'} \to 0, \quad \alpha < \kappa,$$

where we set $\alpha' = \alpha$ if α is limit (including zero), and $\alpha' = \alpha - 1$ if α is a successor ordinal. Furthermore, $f_{\alpha}|_{N_{\beta}} = g_{\alpha'\beta}$ for each $\beta < \alpha$. Finally, for $\beta < \alpha$, the connecting morphisms between ε_{β} and ε_{α} comprise (from left to right) of inclusion, canonical split inclusion and $g_{\alpha'\beta'}$. (We put $g_{\gamma\gamma} = \mathrm{id}_{N_{\gamma}}$ for each $\gamma < \kappa$.)

In particular, setting $K_{\kappa} = K$, we get a pure filtration $\mathfrak{K} = (K_{\alpha}, \alpha \leq \kappa)$ of K.

Proposition 3. Let W be a class of modules that is closed under direct summands, under taking cokernels of pure monomorphisms, and under pure transfinite extensions. Then W is closed under direct limits.

200

Proof. Let κ be an infinite regular cardinal and $\mathcal{N} = (N_{\alpha}, g_{\alpha\beta} \colon N_{\beta} \to N_{\alpha}, \beta < \alpha < \kappa)$ be a well-ordered κ -continuous directed system of modules, with $N_0 = 0$. There is a pure exact sequence $0 \to K \xrightarrow{\subseteq} N \xrightarrow{f} C \to 0$, where $C = \varinjlim \mathcal{N}$ and $N = \bigoplus_{\alpha < \kappa} N_{\alpha}$. As in Lemma 2, there is a pure filtration of $K = \operatorname{Ker}(f)$, $\mathfrak{K} = (K_{\alpha}, \alpha \leq \kappa)$. Since the right connecting map between the short exact sequences ε_{α} and $\varepsilon_{\alpha+1}$ is $\operatorname{Id}_{N_{\alpha}}$ (when α is limit), we have that $K_{\alpha+1}/K_{\alpha} \simeq N_{\alpha} \in \mathcal{W}$.

If α is a successor, then the exact sequence

$$\varepsilon_{\alpha} \colon 0 \to K_{\alpha} \xrightarrow{\subseteq} \oplus_{\beta < \alpha} N_{\beta} \xrightarrow{f_{\alpha}} N_{\alpha - 1} \to 0$$

is split exact. Therefore, K_{α} is a direct summand of $\bigoplus_{\beta < \alpha} N_{\beta} \in \mathcal{W}$, so $K_{\alpha} \in \mathcal{W}$. The pure exact sequence $0 \to K_{\alpha} \to K_{\alpha+1} \to K_{\alpha+1}/K_{\alpha} \to 0$ with both K_{α} and $K_{\alpha+1}$ in \mathcal{W} gives that $K_{\alpha+1}/K_{\alpha}$ is also in \mathcal{W} .

So $(K_{\alpha})_{\alpha}$ is a pure \mathcal{W} -filtration, and therefore, $K \in \mathcal{W}$.

Since N is also in \mathcal{W} , and \mathcal{W} is closed under cokernels of pure monomorphisms, it follows that $C \in \mathcal{W}$.

Our main application of Proposition 4 is proving that the class of Gorenstein injective modules, \mathcal{GI} , is special precovering if and only if it is closed under direct limits.

First, we give some quick remarks.

Lemma 3. If \mathcal{GI} is special precovering, then R is a left noetherian ring.

Proof. Let $(A_i)_{i \in I}$ be a family of injective left *R*-modules. By hypothesis, $\bigoplus_{i \in I} A_i \in \mathcal{GI}$. Since for each $i, A_i \in {}^{\perp}\mathcal{GI}$ and ${}^{\perp}\mathcal{GI}$ is closed under direct sums (as the left half of a cotorsion pair), we have that $\bigoplus_{i \in I} A_i \in {}^{\perp}\mathcal{GI}$. So $\bigoplus_{i \in I} A_i \in {}^{\perp}\mathcal{GI} \cap \mathcal{GI} =$ Inj. Since the class of injective left *R*-modules is closed under direct sums, *R* is left noetherian.

Lemma 4. The class of Gorenstein injective modules is closed under direct summands and under cokernels of monomorphisms.

Proof. Since \mathcal{GI} is the right half of a hereditary cotorsion pair (by [16]), it follows that \mathcal{GI} is closed under cokernels of monomorphisms. Also, as the right half of a cotorsion pair, \mathcal{GI} is closed under direct summands.

In order to prove that \mathcal{GI} being a special precovering covering class implies that it is closed under direct limits, we will also use the following result (this is basically [8, Proposition 2] with a new proof).

Proposition 4 ([8, Proposition 2]). If every R-module has a special Gorenstein injective precover, then the class of Gorenstein injective modules is closed under transfinite extensions.

Proof. Let $(G_{\alpha}, \alpha \leq \lambda)$ be a direct system of monomorphisms with each $G_{\alpha} \in \mathcal{GI}$, and let $G = \varinjlim G_{\alpha}$. Since for each α , we have that $G_{\alpha} \in^{\perp} (\mathcal{GI}^{\perp})$, it follows that $G = \varinjlim G_{\alpha} \in^{\perp} (\mathcal{GI}^{\perp})$ by Eklof Lemma ([5, Theorem 1.2]).

The class \mathcal{GI} is precovering and closed under direct summands, so \mathcal{GI} is closed under direct sums ([17, Lemma 9.14]). Thus $\bigoplus_{\alpha \leq \lambda} G_{\alpha} \in \mathcal{GI}$.

Since there is a short exact sequence $0 \to K \to \bigoplus_{\alpha \leq \lambda} G_{\alpha} \to G \to 0$ with $\bigoplus_{\alpha \leq \lambda} G_{\alpha}$ Gorenstein injective, it follows that any Gorenstein injective precover of G has to be surjective.

The class \mathcal{GI} is special precovering, so there is an exact sequence $0 \to A \to \overline{G} \to G \to 0$ with $A \in \mathcal{GI}^{\perp}$ and \overline{G} Gorenstein injective. But $G \in^{\perp} (\mathcal{GI}^{\perp})$, so we have that $\operatorname{Ext}^{1}(G, A) = 0$. Thus G is a direct summand of \overline{G} , therefore G is Gorenstein injective.

Corollary 1. If the class of Gorenstein injective modules is covering, then it closed under transfinite extensions.

Proof. By [7, Corollary 7.2.3], any Gorenstein injective cover is a special precover, so the result follows from Proposition 4. \Box

Theorem 2. The class of Gorenstein injective modules is special precovering if and only if it is closed under direct limits.

Proof. By Proposition 2, if \mathcal{GI} is closed under direct limits, then it is a covering class. Since \mathcal{GI} is also closed under extensions, it is special precovering.

It is known that a precovering class of modules that is closed under direct summands is also closed under direct sums. Since \mathcal{GI} is closed under direct summands, direct sums, cokernels of monomorphisms, and transfinite extensions, it follows (by Proposition 3) that \mathcal{GI} is closed under direct limits.

In [13], we gave a characterization of the rings for which the class of Gorenstein injective modules is closed under direct limits. Using [13, Theorem 2], and Theorem 2 above, we obtain the following theorem.

Theorem 3. The following statements are equivalent:

- (1) The class of Gorenstein injective modules is covering.
- (2) The class of Gorenstein injective modules is special precovering.
- (3) The class of Gorenstein injective modules is closed under direct limits.
- (4) The ring R is left noetherian and such that the character modules of Gorenstein injectives are Gorenstein flat right R-modules.

Proof. $(1) \Rightarrow (2)$ is immediate since a covering class closed under extensions is special precovering ([7, Corollary 7.2.3]).

- $(2) \Rightarrow (3)$ by Theorem 2 above.
- $(3) \Rightarrow (1)$ by Proposition 2.

(3) \Leftrightarrow (4) by [13, Theorem 2].

The following theorem shows that the result extends to the category of complexes of left R-modules Ch(R).

Theorem 4. The following are equivalent:

(1) The class of Gorenstein injective left R-modules, GI, is closed under direct limits.

202

- (2) The class of Gorenstein injective left R-modules is covering in R-Mod.
- (3) The class of Gorenstein injective complexes is closed under direct limits.
- (4) The class of Gorenstein injective complexes is covering in Ch(R).
- (5) The class of Gorenstein injective complexes is special precovering.

Proof. (1) \Leftrightarrow (2) by Theorem 3.

 $(1) \Rightarrow (3)$ Since \mathcal{GI} is covering in this case, R is a left noetherian ring (Lemma 3). By [14, Theorem 8], a complex is Gorenstein injective if and only if it is a complex of Gorenstein injective modules. Since \mathcal{GI} is closed under direct limits, it follows that the class of Gorenstein injective complexes is also closed under direct limits.

 $(3) \Rightarrow (1)$ Let $(G_i)_{i \in I}$ be a family of Gorenstein injective modules. For each $i \in I$, consider the complex $S^0(G_i) = 0 \rightarrow G_i \rightarrow 0$ with G_i in the zeroth place. By hypothesis, $\varinjlim S^0(G_i)$ is a Gorenstein injective complex. By [14, Theorem 8], $\lim G_i \in \mathcal{GI}$.

 $(2) \Rightarrow (4)$ By [9, Theorem 1], if C is a covering class of modules such that C is closed under direct limits and extensions, then the class of complexes of modules from C, dwC, is covering in Ch(R). So if \mathcal{GI} is covering (hence closed under direct limits), then the class of Gorenstein injective complexes, $dw\mathcal{GI}$, is covering in Ch(R).

 $(4) \Rightarrow (5)$ is immediate, since a covering class that is closed under extensions, is special precovering.

 $(5) \Rightarrow (2)$ Let M be a left R-module and consider the complex $S^0(M) = 0 \rightarrow M \rightarrow 0$ with M in the zeroth place. Let $G \rightarrow S^0(M)$ be a special Gorenstein injective precover with kernel A.

Let $G' \in \mathcal{GI}$. We have that $D^n(G') = 0 \to G' = G' \to 0$ with G' in places nand n + 1, is a Gorenstein injective complex, so $\operatorname{Ext}^1_{Ch(R)}(D^n(G'), A) = 0$. But $\operatorname{Ext}^1_{Ch(R)}(D^n(G'), A) \simeq \operatorname{Ext}^1_R(G', A_n)$ for each n. So $A_n \in \mathcal{GI}^{\perp}$ for each n. In particular, $A_0 \in \mathcal{GI}^{\perp}$. By hypothesis, the sequence

$$0 \to \operatorname{Hom}_{Ch(R)}(D^{n}(G'), A) \to \operatorname{Hom}_{Ch(R)}(D^{n}(G'), G)$$
$$\to \operatorname{Hom}_{Ch(R)}(D^{n}(G'), S^{0}(M)) \to 0$$

is exact. But $\operatorname{Hom}_{Ch(R)}(D^n(G'), A) \simeq \operatorname{Hom}(G', A_0), \operatorname{Hom}_{Ch(R)}(D^n(G'), G) \simeq \operatorname{Hom}(G', G_0)$, and $\operatorname{Hom}_{Ch(R)}(D^n(G'), S^0(M)) \simeq \operatorname{Hom}(G', M)$. So

$$0 \to \operatorname{Hom}(G', A_0) \to \operatorname{Hom}(G', G_0) \to \operatorname{Hom}(G', M) \to 0$$

is exact. Thus $G_0 \to M$ is a special Gorenstein injective precover.

Since \mathcal{GI} is special precovering, it is a covering class (by Theorem 3).

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A. Iacob, Department of Mathematical Sciences, Georgia Southern University, Statesboro (GA) 30460-8093, USA,

e-mail: aiacob@GeorgiaSouthern.edu